EXECUTIVE MINI MBA

IN Advanced Financial Crime Compliance

Accredited by the Institute of Certified Business Consultants ICBC – Canada

Definition:

An Executive Mini-MBA is a short-duration training course that provides an overview of essential executive skills needed for success as a manager or leader. The Mini MBA will cover a depth of practical knowledge, over a shortened syllabus.

Description

Advanced Financial Crime Compliance course, designed to equip professionals with specialized expertise in Anti-Money Laundering (AML) compliance, financial crime risk management, and Know Your Customer (KYC) regulations.

Participants will gain practical insights into sanctions screening procedures, transaction monitoring systems, and regulatory technology (RegTech) solutions, enabling them to implement robust compliance frameworks.

The course also delves into **fraud detection and prevention, customer due diligence processes, and financial crime investigation techniques**, ensuring that participants can proactively identify, assess, and mitigate financial crime risks.

Learning Outcome:

- Develop advanced expertise in Anti-Money Laundering (AML) compliance and financial crime regulations.
- Strengthen understanding of financial crime risk management methodologies to detect and prevent illicit activities.
- Master Know Your Customer (KYC) regulations and customer due diligence processes to enhance compliance practices.
- Implement effective sanctions screening procedures and transaction monitoring systems to identify suspicious activities.
- Explore regulatory technology (RegTech) solutions to enhance compliance efficiency and reporting accuracy.

Course content

- **Understanding Financial Crime & Regulatory Frameworks**
  - Overview of global financial crime trends and their impact on financial institutions.
  - Key regulatory bodies and their role in enforcing **Anti-Money Laundering (AML) compliance**.
  - Legal implications of non-compliance and financial crime enforcement actions.
- **Anti-Money Laundering (AML) Compliance & Risk Management**
  - Implementing an effective **AML compliance** program me to prevent illicit financial flows.
  - **Financial crime risk management** strategies to identify and mitigate vulnerabilities.
  - Analyzing case studies on high-profile money laundering schemes and regulatory penalties.
- **Know Your Customer (KYC) Regulations & Customer Due Diligence**
  - Understanding the importance of **Know Your Customer (KYC) regulations** in preventing financial crimes.
  - Strengthening **customer due diligence processes** to verify identities and assess risks.
  - Differentiating between standard, enhanced, and simplified due diligence procedures.
- **Sanctions Screening & Transaction Monitoring**
  - Establishing robust **sanctions screening procedures** to detect prohibited transactions.
  - Designing and optimizing **transaction monitoring systems** to identify suspicious activities.
  - Ensuring compliance with international sanctions lists and politically exposed persons (PEP) screening.
- **Fraud Detection & Prevention Strategies**
  - Understanding the role of **fraud detection and prevention** in financial crime compliance.
  - Recognizing red flags and behavioral indicators of fraudulent activities.
  - Utilizing data analytics and machine learning for fraud risk assessment.
- **Financial Crime Investigation & Regulatory Reporting**
  - Applying **financial crime investigation techniques** to track illicit financial activities.
  - Preparing suspicious activity reports (SARs) and maintaining regulatory reporting compliance.
  - Conducting internal investigations and coordinating with enforcement agencies.
- **Regulatory Technology (RegTech) & Digital Compliance Solutions**
  - Leveraging **regulatory technology (RegTech) solutions** to automate compliance workflows.

    - Exploring AI-driven compliance tools for risk detection and reporting accuracy.
    - Enhancing digital identity verification and transaction screening through block chain technology.
- **Compliance Training & Organizational Culture**
    - Designing and implementing **compliance training programs** to educate employees.
    - Fostering a strong compliance culture within financial institutions and corporations.
    - Conducting risk-based training tailored to evolving regulatory landscapes.

Who Should Attend?

- Compliance professionals and risk managers responsible for ensuring financial crime risk management within financial institutions.
- AML officers, fraud examiners, and forensic investigators seeking advanced expertise in financial crime investigation techniques.
- Regulatory and legal professionals focusing on sanctions screening procedures and compliance training programs.
- Banking and corporate professionals involved in customer due diligence processes and know Your Customer (KYC) regulations compliance.
- Technology and cyber security specialists interested in leveraging regulatory technology (RegTech) solutions for enhanced compliance frameworks.

Duration of the program:

The duration of the course is 48 hours or FIVE days online course.

Price: US$3,000