EXECUTIVE MINI MBA

In Capital Markets: Market Structure, Products, and Regulatory Trends

Accredited by the Institute of Certified Business Consultants ICBC – Canada

Definition:

An Executive Mini-MBA is a short-duration training course that provides an overview of essential executive skills needed for success as a manager or leader. The Mini MBA will cover a depth of practical knowledge, over a shortened syllabus.

Description

This comprehensive course is designed to equip professionals with a deep understanding of **capital markets**, the mechanisms driving price movements, and the impact of financial regulations on trading practices and market behavior

Learning Outcome:

- Develop a thorough understanding of **capital markets** and their significance in financial systems.
- Examine various **market structures** and their influence on trading efficiency and price formation.
- Analyze different **financial products**, including **equity markets, debt instruments, and derivatives markets**.
- Understand the function of **market participants**, including **financial intermediaries and investment banking** institutions.
- Explore the role of **trading platforms, clearing and settlement mechanisms, and market infrastructure**.
- Gain insights into **regulatory trends**, compliance frameworks, and global financial governance.
- Evaluate factors affecting **market liquidity, price discovery, and risk management**.

Course content

- **Overview of Capital Markets and Market Structure**
  - Fundamentals of **capital markets** and their role in economic development.
  - Key elements of **market structure**, including exchanges, over-the-counter (OTC) markets, and dark pools.
  - Interactions between **market participants** and the impact on liquidity and efficiency.
- **Financial Products and Investment Vehicles**
  - Characteristics of **equity markets**, stock issuance, and trading mechanisms.
  - Analysis of **debt instruments**, including bonds, notes, and commercial papers.
  - Introduction to **derivatives markets**, futures, options, and swaps.
- **Market Participants and Financial Intermediaries**
  - Roles and responsibilities of **financial intermediaries**, including brokers, dealers, and custodians.
  - Functions of **investment banking** in underwriting, advisory, and capital raising.
  - The influence of institutional investors, hedge funds, and market makers on trading dynamics.
- **Trading Platforms and Market Infrastructure**
  - Comparison of major **trading platforms**, electronic exchanges, and alternative trading systems.
  - Understanding **market infrastructure**, including order routing, matching engines, and high-frequency trading.
  - The significance of **clearing and settlement** processes in trade finalization and risk mitigation.
- **Market Liquidity, Price Discovery, and Risk Management**
  - Determinants of **market liquidity** and the role of bid-ask spreads.
  - Mechanisms of **price discovery** and market efficiency.
  - Essential **risk management** techniques to mitigate financial exposure.
- **Regulatory Trends and Compliance Frameworks**
  - Global **financial regulation** and its impact on **capital markets**.
  - **Regulatory frameworks** governing market operations, investor protection, and anti-money laundering measures.
  - The evolving landscape of **compliance requirements** and enforcement actions in financial markets.

Who Should Attend?

- **Finance professionals** seeking expertise in **capital markets, market structure, and regulatory frameworks**.
- **Investment analysts, traders, and portfolio managers** aiming to enhance their knowledge of **securities trading and market liquidity**.
- **Risk management professionals** responsible for assessing risks associated with **financial products and derivatives markets**.
- **Legal and compliance officers** working within financial institutions and dealing with **financial regulation and compliance requirements**.
- **Corporate finance and investment banking specialists** involved in capital raising, mergers, and acquisitions.
- **Academics, policymakers, and researchers** interested in understanding the evolution of **market infrastructure and regulatory trends**.

Duration of the program:

The duration of the course is 48 hours or FIVE days online course.

Price: US$3,000